



Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 00020.08CON	Application Number: 10/696,959
	Applicant: Craig C. HODGES, et al.	
	Filing Date: 10/30/2003	Group Art Unit: 1616

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
M	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	09/15/1987	4,693,868	Katsuda et al.			
	3.	11/24/1987	4,708,151	Shelar			
	4.	12/22/1987	4,714,082	Banerjee et al.			
	5.	04/05/1988	4,735,217	Gerth et al.			
	6.	04/12/1988	4,756,318	Clearman et al.			
	7.	06/28/1988	4,753,758	Miller			
	8.	09/20/1988	4,771,795	White et al.			
	9.	12/27/1988	4,793,365	Sensabaugh, Jr. et al.			
	10.	08/08/1989	4,854,331	Banerjee et al.			
	11.	08/22/1989	4,858,630	Banerjee et al.			
	12.	11/21/1989	4,881,556	Clearman, et al.			
	13.	01/23/1990	4,895,719	Radhakrishnun et al.			
	14.	05/29/1990	4,928,714	Shannon			
	15.	07/17/1990	4,941,483	Ridings et al.			
	16.	08/14/1990	4,947,874	Brooks et al.			
	17.	08/14/1990	4,947,875	Brooks et al.			
	18.	02/05/1991	4,989,619	Clearman et al.			
	19.	05/28/1991	5,019,122	Clearman et al.			
	20.	06/04/1991	5,020,548	Farrier et al.			
	21.	07/01/1991	5,027,836	Shannon et al.			
	22.	07/23/1991	5,033,483	Clearman et al.			
	23.	08/27/1991	5,042,509	Banerjee et al.			
	24.	09/17/1991	5,049,389	Radhakrishnun			
	25.	10/29/1991	5,060,666	Clearman et al.			
M	26.	11/26/1991	5,067,499	Banerjee et al.			

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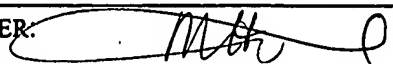
M	27.	12/31/1991	5,076,292	Sensabaugh, Jr. et al.			
	28.	04/21/1992	5,105,831	Banerjee et al.			
	29.	06/09/1992	5,119,834	Shannon et al.			
	30.	07/28/1992	5,133,368	Neumann et al.			
	31.	08/11/1992	5,137,034	Perfetti et al.			
	32.	10/20/1992	5,156,170	Clearman et al.			
	33.	07/06/1993	5,224,498	Deevi et al.			
	34.	10/05/1993	5,249,586	Morgan et al.			
M	35.	02/14/1995	5,388,574	Ingebrethsen			
	36.	02/14/1995	5,388,574	Ingebrethsen			Duplicate
M	37.	10/10/1995	5,456,247	Schilling, et al.			
	38.	08/13/1996	5,544,646	Lloyd et al.			
	39.	12/09/1997	5,694,919	Rubsamen et al.			
	40.	04/07/1998	5,735,263	Rubsamen et al.			
	41.	04/14/1998	5,738,865	Baichwal et al.			
	42.	06/29/1999	5,915,378	Lloyd et al.			
	43.	08/10/1999	5,934,272	Lloyd et al.			
	44.	09/28/1999	5,957,124	Lloyd et al.			
	45.	10/05/1999	5,960,792	Lloyd et al.			
	46.	11/30/1999	5,993,805	Sutton et al.			
	47.	03/28/2000	6,041,777	Faithfull et al.			
	48.	04/18/2000	6,051,566	Bianco			
	49.	08/01/2000	6,095,153	Kessler et al.			
	50.	08/15/2000	6,102,036	Slutsky et al.			
	51.	06/05/2001	6,241,969	Saidi et al.			
	52.	07/03/2001	6,255,334	Sands			
	53.	07/24/2001	6,264,922	Wood et al.			
	54.	01/14/2003	6,506,762	Horvath et al.			
	55.	02/04/2003	6,514,482	Bartus et al.			
	56.	09/06/2001	US 2001/0020147	Staniforth et al.			
M	57.	03/28/2002	US 2002/0037828	Wilson et al.			

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M	58.	05/16/2002	US 2002/0058009	Bartus et al.			
	59.	07/04/2002	US 2002/0086852	Cantor			
	60.	08/22/2002	US 2002/0112723	Schuster et al.			
	61.	11/28/2002	US 2002/0176841	Barker et al.			
M	62.	01/02/2003	US 2003/0004142	Prior et al.			
RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS							
M	63.	08/04/2003	10/633,876	Hale et al.			
	64.	08/04/2003	10/633,877	Hale et al.			
	65.	12/30/2003	10/749,537	Rabinowitz et al.			
	66.	12/30/2003	10/749,539	Rabinowitz et al.			
	67.	01/27/2004	10/766,149	Rabinowitz et al.			
	68.	01/27/2004	10/766,279	Rabinowitz et al.			
	69.	01/27/2004	10/766,566	Rabinowitz et al.			
	70.	01/27/2004	10/766,574	Rabinowitz et al.			
	71.	01/27/2004	10/766,634	Rabinowitz et al.			
	72.	01/27/2004	10/766,647	Rabinowitz et al.			
	73.	01/28/2004	10/767,115	Rabinowitz et al.			
	74.	01/29/2004	10/768,205	Rabinowitz et al.			
	75.	01/29/2004	10/768,220	Rabinowitz et al.			
	76.	01/29/2004	10/768,281	Rabinowitz et al.			
	77.	01/29/2004	10/768,293	Rabinowitz et al.			
	78.	01/30/2004	10/769,046	Rabinowitz et al.			
	79.	01/30/2004	10/769,051	Rabinowitz et al.			
	80.	01/29/2004	10/769,157	Rabinowitz et al.			
	81.	01/29/2004	10/769,197	Rabinowitz et al.			
	82.	02/09/2004	10/775,583	Rabinowitz et al.			
	83.	02/09/2004	10/775,586	Rabinowitz et al.			
	84.	03/03/2004	10/791,915	Hale et al.			
	85.	03/03/2004	10/792,001	Rabinowitz et al.			
	86.	03/03/2004	10/792,012	Hale et al.			
M	87.	03/03/2004	10/792,013	Rabinowitz et al.			
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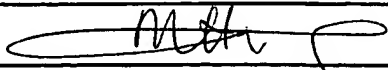
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M	88.	03/03/2004	10/792,096	Hale et al.			
I	89.	03/03/2004	10/792,239	Hale et al.			
I	90.	03/31/2004	10/813,721	Rabinowitz et al.			
I	91.	03/31/2004	10/813,722	Rabinowitz et al.			
I	92.	03/31/2004	10/814,690	Rabinowitz et al.			
I	93.	03/31/2004	10/814,998	Rabinowitz et al.			
I	94.	04/01/2004	10/815,527	Rabinowitz et al.			
I	95.	04/01/2004	10/816,407	Rabinowitz et al.			
I	96.	04/01/2004	10/816,492	Rabinowitz et al.			
I	97.	04/01/2004	10/816,567	Rabinowitz et al.			
I	98.	08/04/2004	10/912,462	Hale et al.			
I	99.	01/23/2003	US 2003/0015196	Hodges et al.			
I	100.	04/03/2003	US 2003/0062042	Wensley et al.			
I	101.	11/13/2003	US 2003/0209240	Hale et al.			
I	102.	01/02/2003	US 2003/0000518	Rabinowitz et al.			
I	103.	01/09/2003	US 2003/0005924	Rabinowitz et al.			
I	104.	01/09/2003	US 2003/0005925	Hale et al.			
I	105.	01/09/2003	US 2003/0007933	Rabinowitz et al.			
I	106.	01/09/2003	US 2003/0007934	Rabinowitz et al.			
I	107.	01/16/2003	US 2003/0012737	Rabinowitz et al.			
I	108.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
I	109.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
I	110.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
I	111.	01/23/2003	US 2003/0015190	Rabinowitz et al.			
I	112.	01/23/2003	US 2003/0017114	Rabinowitz et al.			
I	113.	01/23/2003	US 2003/0017115	Rabinowitz et al.			
I	114.	01/23/2003	US 2003/0017116	Rabinowitz et al.			
I	115.	01/23/2003	US 2003/0017117	Rabinowitz et al.			
I	116.	01/23/2003	US 2003/0017118	Rabinowitz et al.			
I	117.	01/23/2003	US 2003/0017119	Rabinowitz et al.			
M	118.	01/23/2003	US 2003/0017120	Rabinowitz et al.			
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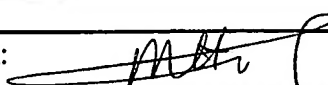
M	119.	1/30/2003	US 2003/0021753	Rabinowitz et al.				
	120.	01/30/2003	US 2003/0021754	Rabinowitz et al.				
	121.	01/30/2003	US 2003/0021755	Hale et al.				
	122.	02/20/2003	US 2003/0035776	Hodges et al.				
	123.	05/15/2003	US 2003/0091511	Rabinowitz et al.				
	124.	05/27/2004	US 2004/010148	Hale et al.				
	125.	07/31/2003	US 2003/0138382	Rabinowitz				
	126.	11/06/2003	US 2003/0206869	Rabinowitz et al.				
	127.	01/15/2004	US 2004/0009128	Rabinowitz et al.				
	128.	05/27/2004	US 2004/0099269	Hale et al.				
	129.	06/03/2004	US 2004/0105818	Hale et al.				
	130.	06/03/2004	US 2004/0105819	Hale et al.				
	131.	07/01/2004	US 2004/0126326	Rabinowitz et al.				
	132.	07/01/2004	US 2004/0126327	Rabinowitz et al.				
	133.	07/01/2004	US 2004/0126328	Rabinowitz et al.				
	134.	07/01/2004	US 2004/0126329	Rabinowitz et al.				
	135.	07/01/2004	US 2004/0127481	Rabinowitz et al.				
	136.	07/01/2004	US 2004/0127490	Rabinowitz et al.				
	137.	08/12/2004	US 2004/0156788	Rabinowitz et al.				
	138.	08/12/2004	US 2004/0156789	Rabinowitz et al.				
M	139.	8/12/2004	US 2004/0156790	Rabinowitz et al.				

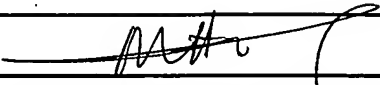
FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
M	140.	03/14/1990	EP 0 358 114	Europe			
	141.	08/29/2001	EP 0 606 486	Europe			
	142.	03/07/2001	EP 1 080 720	Europe			
M	143.	06/13/1991	WO 91/07947	WIPO			

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M	144.	05/11/1994	WO 94/09842	WIPO			
	145.	04/11/1996	WO 96/09846	WIPO			
	146.	05/09/1996	WO 96/13161	WIPO			
	147.	05/09/1996	WO 96/13290	WIPO			
	148.	05/09/1996	WO 96/13291	WIPO			
	149.	05/09/1996	WO 96/13292	WIPO			
	150.	10/03/1996	WO 96/30068	WIPO			
	151.	10/10/1996	WO 96/31198	WIPO			
	152.	08/07/1997	WO 97/27804	WIPO			
	153.	05/28/1998	WO 98/22170	WIPO			
	154.	08/27/1998	WO 98/36651	WIPO			
	155.	12/16/1999	WO 99/64094	WIPO			
	156.	05/25/2000	WO 00/29053	WIPO			
	157.	11/09/2000	WO 00/66084	WIPO			
	158.	12/21/2000	WO 00/76673	WIPO			
	159.	01/25/2001	WO 01/05459	WIPO			
	160.	07/25/2002	WO 02/056866	WIPO			
	161.	07/23/1998	WO 98/31346	WIPO			
	162.	05/18/2000	WO 00/27363	WIPO			
M	163.	03/28/2002	WO 02/24158	WIPO			
OTHER DOCUMENTS <i>(including author, title, Date, Pertinent Pages, Etc.)</i>							
Examiner Initials	Ref. No.	Title					
M	164.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.					
I	165.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.					
I	166.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society</i> . 966-974.					
M	167.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology</i> . 32(5):591-600.					
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M	168.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology</i> . 93(3): 619-628.	
	169.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.	
	170.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.	
	171.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior</i> . 36(1):1-7.	
	172.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μm ," <i>J. Aerosol Sci.</i> 17(5):811-822.	
	173.	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.	
	174.	Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.	
	175.	James, A.C. et al., (1991). "The Respiratory Tract Deposition Model Proposed by the ICRP Task Group," <i>Radiation Protection Dosimetry</i> , 38(1/3):159-165.	
	176.	Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.	
	177.	Mattox, A.J. and Carroll, M.E., (1996). "Smoked heroin self-administration in rhesus monkeys," <i>Psychopharmacology</i> , 125:195-201.	
	178.	Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224.	
	179.	Meng, Y., et al. (1999). "Pharmacological effects of methamphetamine and other stimulants via inhalation exposure," <i>Drug and Alcohol Dependence</i> . 53:111-120.	
	180.	Martin, B.R. and Lue, L.P. (May/June 1989). "Pyrolysis and Volatilization of Cocaine," <i>Journal of Analytical Toxicology</i> 13:158-162.	
	181.	Pankow, J.F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form Through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433.	
	182.	Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8.	
	183.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.	
M	184.	Sekine, H. and Nakahara, Y. (1987). "Abuse of Smoking Methamphetamine Mixed with Tobacco: 1. Inhalation Efficiency and Pyrolysis Products of Methamphetamine," <i>Journal of Forensic Science</i> 32(5):1271-1280.	
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M	185.	Ward, M.E. MD, et al. (December 1997). "Morphine Pharmacokinetics after Pulmonary Administration from a Novel Aerosol Delivery System," <i>Clinical Pharmacology & Therapeutics</i> 62(6):596-609.	
M	186.	Wood, R.W. et al. (1996). "Generation of Stable Test Atmospheres of Cocaine Base and Its Pyrolyzate, Methylecgonidine, and Demonstration of Their Biological Activity." <i>Pharmacology Biochemistry & Behavior</i> . 55(2):237-248.	
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